



DOCKET NO. PARE.002.02US

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)  
Sheet 1 of 2

In re the application of: Robert F. Balint, *et al.* ] Art Unit: 1645  
Serial No. 09/764,163 ] Examiner:  
Filed: January 16, 2001 ]

U.S. PATENT DOCUMENTS

Ref.	Examiner's	Document	Class/	Filing		
Desig.	Initials	Number	Date	Name	Subclass	Date
A1	PP	6,294,330	09/25/01	Michnick, et al		07/30/98
A2	PP	6,270,964	08/07/01	Michnick, et al		02/02/98

PENDING U.S. PATENT DOCUMENTS

Ref.	Examiner's	Document Number	Name	Filing Date
Desig.	Initials			

FOREIGN PATENT DOCUMENTS

Ref.	Examiner's	Document	Class/	Filing		
Desig.	Initials	Number	Date	Country	Subclass	Date
B6	PP	WO 96 30540	10/03/96	PGP WIPD		03/20/96

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref.	Examiner's	
Desig.	Initials	
C20	PP	Ostermeier, et al, A combinatorial approach to hybrid enzymes independent of DNA homology, Nature Biotechnology, vol. 17, no. 12, pp. 1205-1209, Dec. 1999.

Examiner: P Ponnalun

Date Considered: 4/27/04

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



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C21

PP

Ostermeier et al., Combinatorial protein engineering by incremental truncation,  
Proc. Natl. Acad. Sci., vol. 96, pp. 3562-3567, March 1999.

Examiner: P. Ponnaluri Date Considered: 4/27/04

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## PENDING U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Filing Date
D1	pp	09/526,106	(pending application)	Balint, B.F., <i>et al.</i>	3-15-00

## FOREIGN PATENT DOCUMENTS

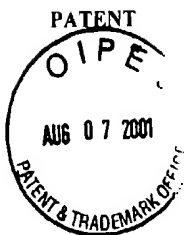
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Filing Date
B1		WO 98 44350	10-8-98	PCT WIP0		4-2-98
B2		WO 98 34120	8-6-98	PCT		2-2-98
B3		WO 95 29195	11-2-95	PCT		4-7-95
B4		WO 99 28746	6-10-99	PCT		12-4-98
B5		WO 00 07038	2-10-00	PCT		7-30-99

Examiner:

P. Ponnaluri

Date Considered: 4/26/00

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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref.	Examiner's	
Desig.	Initials	
C18	PP	Pelletier, <i>et al.</i> , Protein Engineering. (1997), 10:89
C19	PP	Johnson, <i>et al.</i> , Proc Natl Acad Sci. (1994) 91:10340-10344

Examiner:

P. Ponnaluri

Date Considered:

4/27/04

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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref. Desig.	Examiner's Initials	
C1	P	Blackwood and Eisenman, <i>Science</i> (1991) 251:1211.
C2		Defeo-Jones <i>et al.</i> , <i>Nature</i> (1991) 352:251.
C3		Fields and Song, <i>Nature</i> (1989) 340:245.
C4		Chien <i>et al.</i> , <i>Proc. Natl. Acad. Sci.</i> (1991) 88:9578.
C5		Zervos <i>et al.</i> , <i>Cell</i> (1993) 72:223.
C6		Vojtek <i>et al.</i> , <i>Cell</i> (1993) 74:205.
C7		Luban <i>et al.</i> , <i>Cell</i> (1993) 73:1067.
C8		Bartel <i>et al.</i> , <i>Nature Genetics</i> (1996) 2:72.
C9		Fromont-Racine <i>et al.</i> , <i>Nature Genetics</i> (1997) 3:277.

Examiner: P. Ponnalun

Date Considered: 4/27/01

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Filed: January 16, 2001

- C10 *PP* Xu *et al.*, *Proc. Natl. Acad. Sci* (1997) 94:12473.  
C11 Bartel *et al.*, *Biotechniques* (1993) 14:920.  
C12 Krebber *et al.*, *J. Mol. Biol.* (1997) 268:607.  
C13 Cubitt *et al.*, *Trends Biochem* (1995) 20:448.  
C14 Rossi *et al.*, *Proc. Natl. Acad. Sci* (1997) 94:8405.  
C15 Pelletier *et al.*, *Proc. Natl. Acad. Sci.* (1998) 95:12141.  
C16 Karimova *et al.*, *Proc. Natl. Acad. Sci.* (1998) 95:5752.  
C17 *✓* Pieper *et al. Biochemistry*, (1997) 36: 8767-8774.

Examiner: *P. Ponnaluri*

Date Considered: *4/27/04*

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